

# Universal CA groups with few generators

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## Abstract

There exist f.g.-universal cellular automata groups which are quotients of  $\mathbb{Z} * \mathbb{Z}_2$  or  $\mathbb{Z}_2 * \mathbb{Z}_2 * \mathbb{Z}_2$ , as previously conjectured by the author.

The following was stated in [3]: “We conjecture that three involutions can generate an f.g.-universal group of RCA.” We confirm this, and also minimize the size of generating sets for f.g.-universal cellular automata groups.

The group  $\text{RCA}(m)$  is the group of self-homeomorphisms  $f$  of  $\{0, 1, \dots, m-1\}^{\mathbb{Z}}$  satisfying  $f \circ \sigma = \sigma \circ f$ , where  $\sigma(x)_i = x_{i+1}$  is the left shift.

**Theorem 1.** *Let  $G' \in \{\mathbb{Z} * \mathbb{Z}_2, \mathbb{Z}_2 * \mathbb{Z}_2 * \mathbb{Z}_2\}$  and let  $m, n \geq 2$  be arbitrary. There is a homomorphism  $\phi : G' \rightarrow \text{RCA}(m)$  such that  $\phi(G')$  contains an embedded copy of every finitely-generated group of  $\text{RCA}(n)$ .*

*Proof.* First consider  $G' = \mathbb{Z} * \mathbb{Z}_2$ . To show this for all  $m, n \geq 2$ , it suffices to show it for some  $m, n \geq 2$ , by [1]. We let  $B$  with  $|B| \geq 2$  be arbitrary and  $C = \{0, 1\}$  and use the alphabet  $A = B \times C$ , with  $B^{\mathbb{Z}}$  the “top track” and  $C^{\mathbb{Z}}$  the “bottom track”. By [3], there exists a finitely-generated group  $H$  of cellular automata containing a copy of every finitely-generated group of cellular automata. By Lemma 7 in [3] (more precisely, its proof), for any large enough  $\ell$  and unbordered word  $|w| = \ell$ , if a group  $G \leq \text{RCA}(B \times C)$  contains

$$\pi|_{[w]_i} \text{ and } \pi|_{[ww]_i}$$

for all  $\pi \in \text{Alt}(\{0, 1\}^\ell)$  and all  $i \in \mathbb{Z}$ , then  $G$  contains a copy of  $H$ . The notation  $\pi|_{[u]_i}$  is as in Definition 2 of [3], and means that we apply  $\pi$  on the second track if and only if  $u$  appears on the first track, with offset  $i$ .

Now, let  $w \in B^\ell$  be unbordered where  $\ell$  is as above, and very large. We construct a 2-generated group  $G$  containing the maps  $\pi|_{[w]_i}$  and  $\pi|_{[ww]_i}$ , such that one of our generators is an involution.

Let  $F : \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a function such that  $F^2 = \text{id}_{\{0, 1\}^n}$  and defining  $f : \{0, 1\}^{\mathbb{Z}} \rightarrow \{0, 1\}^{\mathbb{Z}}$  by  $f(x.wy) = x.F(w)y$ , the maps  $\sigma^i \circ f \circ \sigma^{-i}$  generate the group of all self-homeomorphisms  $g$  of  $\{0, 1\}^{\mathbb{Z}}$  for which there exists  $m$  such that

$$\forall x \in \{0, 1\}^{\mathbb{Z}} : \forall |i| \geq m : g(x)_i = x_i$$

holds. Such  $F$  exists [2].

Our generators are the partial shift on the first track, i.e.  $\sigma_1(x, y) = (\sigma(x), y)$ , and the map  $f_0 = F|_{[w]_0}$ . Let

$$G = \langle \sigma_1, F|_{[w]_0} \rangle.$$

Note that  $f_i = F|_{[w]_{-i}} = f_0^{\sigma^i} \in G$ .

Let  $F'$  be any finite set of even permutations of sets of the form  $\{0, 1\}^k$  such that every even permutation of  $\{0, 1\}^m$  for any large enough  $m$  can be decomposed into application of permutations in  $F'$  in contiguous subsequences  $\{i, i+1, \dots, i+k-1\}$  of the indices  $\{0, 1, \dots, m-1\}$ . It is well-known that there exist such universal reversible gate sets. Note that  $\{F\}$  need not be such a set: we may need to use more than  $m$  coordinates to build permutations of  $\{0, 1\}^m$  using translates of  $F$ .

For any  $i$ , since  $w$  is unbordered and of length  $\ell$ , the maps  $f_i, f_{i+1}, \dots, f_{i+\ell-n}$  compose in the natural way, just like translates of  $F$  inside  $\{0, 1\}^\ell$ . By universality of  $F$ , as long as  $\ell$  is large enough, the maps  $f'|_{[w]_i}, f' \in F'$ , are generated. By the universality property of  $F'$ , we have  $\pi|_{[w]_i} \in G$  for all  $\pi \in \text{Alt}(\{0, 1\}^\ell)$ .

Now, we need to show that also  $\pi|_{[ww]_i} \in G$ . For this, pick a large *mutually unbordered* set  $U \subset \{0, 1\}^\ell$ , i.e. any set such that  $u_1, u_2 \in U$  have no nontrivial overlaps. For example we can pick  $U = 0^{\ell-k-2}1\{0, 1\}^k1$  for any  $k$  such that  $k < \frac{\ell-4}{2}$ . By the above, we can perform any even permutation of  $U$  under occurrences of  $w$ . For two permutations  $\pi_1, \pi_2 \in \text{Alt}(\{0, 1\}^\ell)$ , with supports contained in  $U$ , a direct computation shows

$$[\pi_1|_{[w]_i}, \pi_2|_{[w]_{i+\ell}}] = [\pi_1, \pi_2]|_{[ww]_i},$$

so for  $|U| \geq 5$  ( $\ell$  has to be large enough for this) we have  $\pi|_{[ww]_i} \in G$  for all  $\pi \in \text{Alt}(\{0, 1\}^\ell)$  with support contained in  $U$ .

For two permutations  $\pi_1, \pi_2 \in \text{Alt}(\{0, 1\}^\ell)$ , a direct computation shows

$$(\pi_1|_{[ww]_i})^{\pi_2|_{[w]_i}} = (\pi_1^{\pi_2})|_{[ww]_i}$$

so, since  $\text{Alt}(\{0, 1\}^\ell)$  is simple (supposing  $\ell \geq 3$ ),  $G$  in fact contains  $\pi|_{[ww]_i} \in G$  for all  $\pi \in \{0, 1\}^\ell$ . This concludes the proof since  $G$  is clearly a quotient of  $G' = \mathbb{Z} * \mathbb{Z}_2$ , as it was generated by an RCA of infinite order and an involution.

Let us then show the claim for  $G' = \mathbb{Z}_2 * \mathbb{Z}_2 * \mathbb{Z}_2$ . For this, pick  $B = \{0, 1\}$  and add a third component  $B' = \{0, 1\}$  on top, so the alphabet becomes  $A = B' \times B \times C$ ,  $m = 8$ . Thinking of  $x \in (B' \times B \times C)^\mathbb{Z}$  as having three binary tracks, and writing  $\sigma_0$  and  $\sigma_1$  for the shifts on the first two tracks, it is easy to see that  $\sigma_0^{-1} \times \sigma_1$  is the composition of two involutions, say  $\sigma_0^{-1} \times \sigma_1 = a \circ b$ .

In the proof of universality in [3], the shift on the first ( $B$ -)track is only used to construct the generators of an arbitrary f.g. group, but total sum of shifts is 0 in the elements giving the embedding. Thus,  $G = \langle a, b, f_0 \rangle$ , where  $f_0$  is as above but ignores the  $B'$ -track, is clearly f.g.-universal, and a quotient of  $G'$ .  $\square$

## References

- [1] K. H. Kim and F. W. Roush. On the automorphism groups of subshifts. *Pure Mathematics and Applications*, 1(4):203–230, 1990.
- [2] V. Salo. Universal gates with wires in a row. *ArXiv e-prints*, September 2018.
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